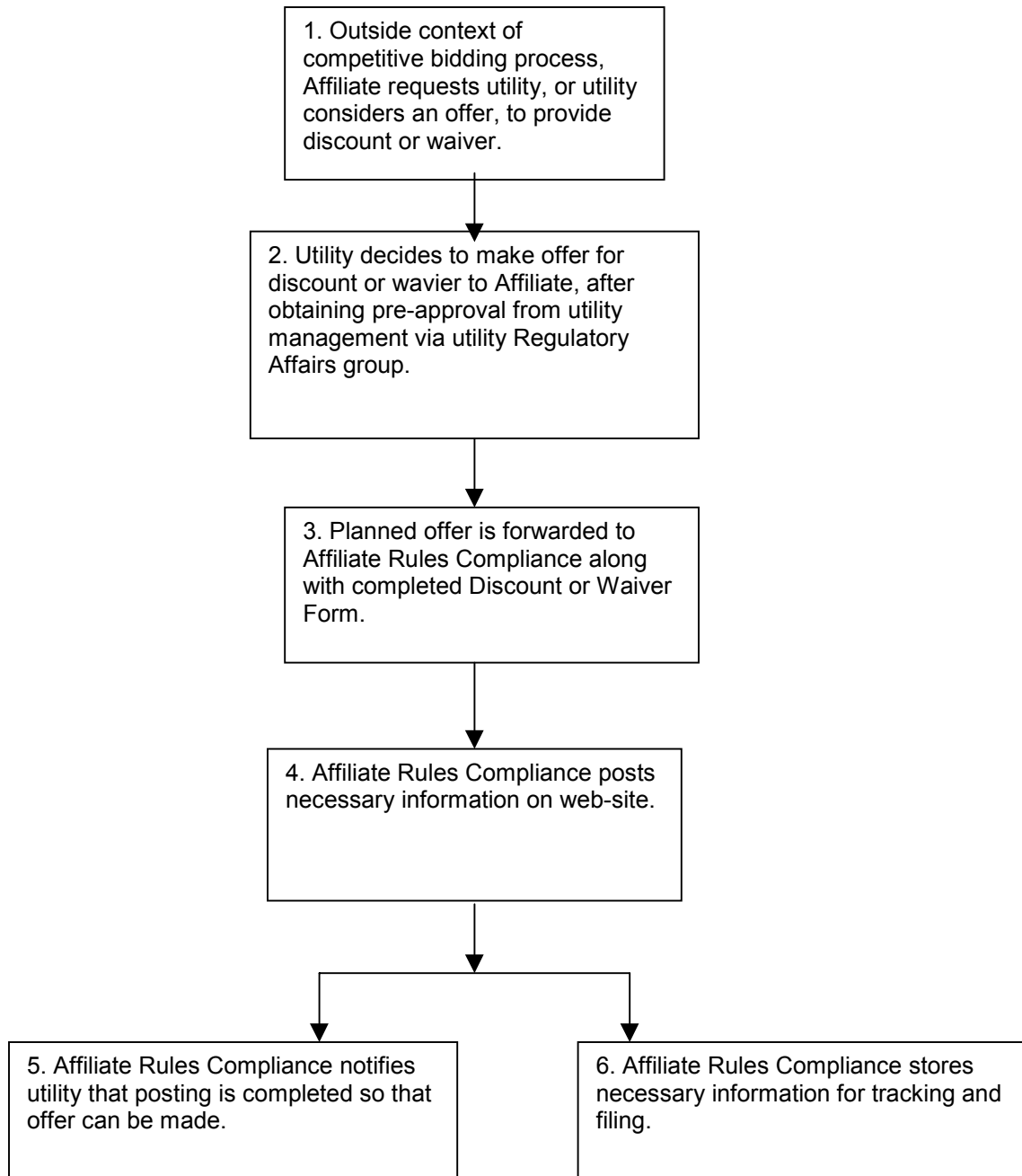


## DISCOUNTS OR WAIVERS PROCESS



## **DISCOUNTS OR WAIVERS PROCESS**

1. Outside the context of an open, competitive bidding process, an Affiliate requests the utility, or the utility considers an offer to an Affiliate, to provide a discount or waiver for any transaction involving an Affiliate.
2. Utility determines that an offer for a discount or waiver to the Affiliate should be made. All such offers must be approved in advance by utility management, through the utility Regulatory Affairs group.

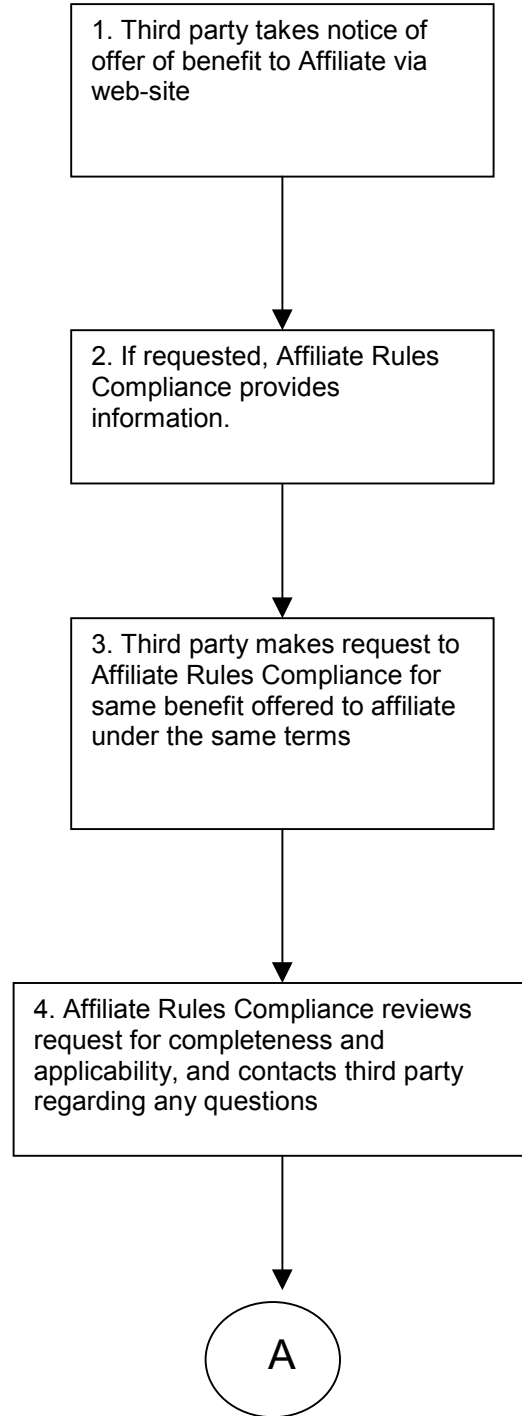
Note: Utility shall not create any arrangement with its competitive affiliate that is so unique that no competitor could be similarly situated to benefit from the discount or waiver, or alternative tariff terms and conditions.

3. Offer is forwarded to Affiliate Rules Compliance. Along with completed Discounts or Waivers Form.
4. Affiliate Rules Compliance conspicuously posts name of affiliate, benefit offered, period benefit applies, any conditions applicable to the benefit, and procedure by which any non-affiliate may obtain the same benefit to the utility internet web-site for a period of at least 30 calendar days.

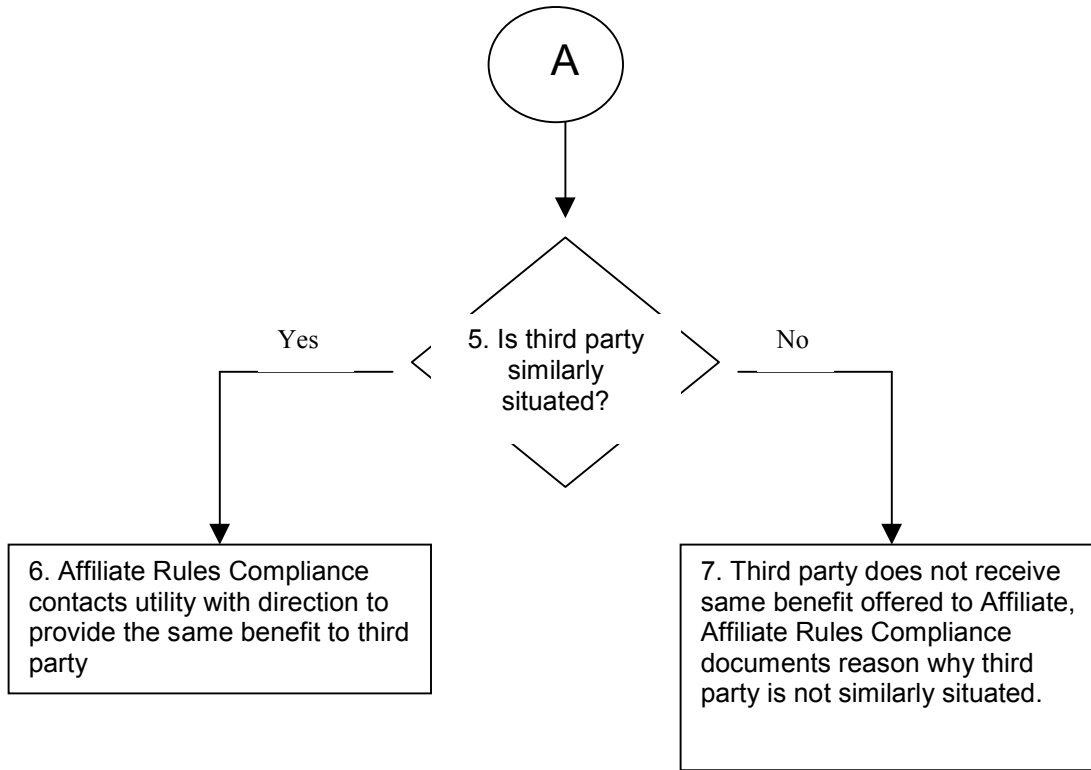
Note: Posting shall include notice that same benefit(s) are available to all similarly situated non-affiliates.

5. Affiliate Rules Compliance notifies utility that posting is completed so that offer to Affiliate can be made.
6. Affiliate Rules Compliance stores necessary information from Discounts or Waivers Form into database for the purpose of tracking, filing, or providing to third parties.

## Third Party Review Sub-Process



### Third Party Review Sub-Process Continued



### **Third Party Review Sub-Process**

1. Third party notices provision of offer of benefit(s) via web-site.
2. Affiliate Rules Compliance provides the information on the offer, if requested.
3. At the third party's discretion they may request the same benefit, provided they are similarly situated to the Affiliate. Third party makes written request to Affiliate Rules Compliance for same specific benefit provided to the Affiliate and includes in their request a justification for being similarly situated.
4. Affiliate Rules Compliance reviews the request for completeness and applicability. If further information is required, Affiliate Rules Compliance contacts the third party to obtain.
5. Affiliate Rules Compliance determines if the third party is similarly situated or not.
6. If Affiliate Rules Compliance determines that the third party is similarly situated then Affiliate Rules Compliance directs the utility to offer third party the same discount or waiver offered to the Affiliate.
7. If Affiliate Rules Compliance determines that the third party is not similarly situated then third party is not offered the same discount or waiver. Affiliate Rules Compliance must document why the third party is not similarly situated.

## DISCOUNTS OR WAIVERS FORM

**Jurisdiction:**       ENO  
                               ELI - CNO

**Competitive Affiliate:** \_\_\_\_\_

**Description of Benefit:**

---

---

---

**Period For Which Benefit Applies:**

Start Date (mm/dd/yyyy)      \_\_\_\_\_  
End Date (mm/dd/yyyy)      \_\_\_\_\_

**Conditions or Requirements Applicable to the Benefit:**

---

---

---

**Documentation of Any Cost Differential Underlying the Benefit:**

---

---

---

Return Completed Form to Affiliate Rules Compliance, A-TCBY-27B, P. O. Box 551, Little Rock, AR  
72203-0551.